07/841910

**PATENT** 

1280-4014 US5 Docket No.

ation:	7 4-3	?-92
		,5

Applicant(s)

0

Ward et al.

Anticipated Classification of this applica

Crane

FWC of Serial No.

07/130,097

Class \_ Subclass \_ Prior Application

Examiner:

For

MODIFIED NUCLEO-

TIDES AND METHODS Group Art Unit:

OF PREPARING AND

USING SAME

FILE WRAPPER CONTINUING APPLICATION (FWC)

HON. COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

C.	-

Sir:										
1.	Based on the above-identified application, this is a request under the file wrapper continuing application procedure, 37 C.F.R. § 1.62, for filing a									
	[X ]	Continuation application								
	[ ]	Divisional application								
	[ ]	Continuation-in-part application (Inventor(s) Declaration enclosed)								
2.	The fo	The following are enclosed herewith:								
	(X)	Amendment								
	[ ]	Inventor(s) Declaration								
	[ ]	Page(s) of Sequence Listing								
	[ ]	Computer Disk(s) containing Sequence Listing								
	[ ]	Statement under 37 C.F.R. § 1.821(f) that Computer and Paper copies of the Sequence Listing are the same.								
	[ ]	Computer disk containing original Sequence Listing previously submitted with Application Serial No, filed								
	[ ]	Page(s) of substitute Sequence Listing								
	[ ]	Computer disk(s) containing substitute Sequence Listing								
	[ ]	Statement under 37 C.F.R. § 1.825(b) that the computer and paper copies of the substitute Sequence Listing are the same.								
	r 1	Other								

- 3. [X] Please enter the Amendment/Request For Reconsideration After Final Rejection filed August 29 and 30, 1991 \_\_\_\_\_ in the above-identified parent application and then enter the attached Preliminary Amendment.
- 4. The above-identified parent application in which no payment of issue fee, abandonment of, or termination of proceedings has occurred, is hereby expressly abandoned as of the filing date of this new application so as to make this application copending with said parent application. Please use all the contents of the parent application file wrapper, including the drawings, as the basic papers for the new application.

It is understood that secrecy under 35 U.S.C. § 122 is hereby waived to the extent that if information or access is available to any one of the applications in the file wrapper of a 37 C.F.R. § 1.62 application, be it either this application or a prior application in the same file wrapper, the PTO may provide similar information or access to all the other applications in the same file wrapper.

- 5. [X] The fees to be charged are to be based on the number of claims:
  - a. [X] remaining after entering the attached Amendment.
  - b. [ ] entered in the parent application as of the date of its abandonment.
  - c. [X] remaining after entering the Amendment After Final Rejection filed August 29, 30, 1991 in the above-identified parent application.

#### **CLAIMS FOR FEE CALCULATION**

	Num	ber	Number Extra	Rate for Non-Small Entity	Basic Fee \$690.00
Total* Claims	32	-20	1,2	x \$20.00	\$ 240.00
Independent Claims	.4	-3	1	x \$72.00	\$ 72.00
Multiple Dependent Claims(s)		[] yes	Addt'l Fee	\$220.00	\$

Filing Fee Calculation \$ 1002.00

<sup>\*</sup> Includes all independent and single dependent claims and all claims referred to in multiple dependent claims. See 37 C.F.R. § 1.75(c).

	6.	[ ]	A verified statement that this is a filing by a small entity is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9 (f) (50% of total) paid herewith \$
-	7.	[X]	A check in the amount of \$1002.00 payment of the FWC application filing fees is attached.
	8.	[ ]	Charge fee to Deposit Account No. 13-4500. Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
	9.	[X ]	The Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500. Order No. 1280-4014 US5.  A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
	10.	[ ]	Priority of application Serial No, filed on in, is claimed under 35 U.S.C. § 119.  (country)
	_11	[X]_	Amend the specification by inserting before the first line the sentence:
Jul.			This is a [X] continuation [ ] divisional [ ] continuation-in-part of co-pending application Serial No. 97/130,097, filed on
5/15/9	12.	[X]	The parent application is assigned of record to Yale University, New Haven, Connecticu Recorded on 2/24/82, Reel 3950, Frame 0423.
	13.	[ ]	An assignment of the invention to is attached.
	14.	[ ]	A check in the amount of \$\\\000 for recording the Assignment is attached.
	15.	[Χή	The Power of Attorney in the parent application is to & Finnegan
			a. [X] The power was filed in the parent application.
			b. [ ] A new power has been executed and is attached.
			c. [X] Address all future communications to:
			MORGAN & FINNEGAN  345 Park Avenue  New York, New York 10154

### Docket No. 1280-4014 US5

16. Please abandon the prior application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and when this application is pending and has been granted a filing date, so as to make this application co-pending with said prior application.

Respectfully submitted,

**MORGAN & FINNEGAN** 

Dated: February 26, 1992

Registration No. 34, 398

Mailing Address:

MORGAN & FINNEGAN 345 Park Avenue New York, New York 10154 (212) 758-4800 (212) 751-6849 Telecopier

FORM: FWC\_TRAN.NY

Rev. 110790



**PATENT** 

Docket No. 1280-4014 US5

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applica	ınt(	s)	:	Ward	et a	1.	Anticipated C	Classi	fication of this application:	
FWC o	f S	erial N	No. :	07/1	30,09	7		_	oclass	
For			:	TIDES OF PI	S AND	NUCLEO- METHODS ING AND E	Prior Applica Examiner: Group Art U	L.	Crane 183	
٠			,	FILE W	RAPPER	CONTINUI	NG APPLICATI	ON (	(FWC)	
			SSIONER C. 20231	OF PATE	ENTS AI	ND TRADEM	ARKS			
Sir:									uda di	
1.	Ba	ased or	n the abov re, 37 C.F	ve-identifi F.R. § 1.6	ed applic 52, for fi	cation, this is a	request under	the fi	ile wrapper continuing application	
	Įχ	: ]	Continua	tion appli	cation					
	[	3	Divisiona	ıl applicat	ion				•	
	[	]	Continuat	tion-in-pa	rt applica	ation (Invento	r(s) Declaration	enclo	osed)	
2.	The following are enclosed herewith:									
	[]	ζ]	Amendme	ent						
	ĺ	}	Inventor(	s) Declara	ation					
	[	]	Page	(s) of Sec	quence L	isting				
	[	]	Com	puter Dis	k(s) cont	taining Sequen	ce Listing			
	[	}	Statement are the sa	under 37 me.	C.F.R.	§ 1.821(f) tha	at Computer and	Pape	er copies of the Sequence Listing	
	[	]	Computer Serial No	disk con	taining o	original Sequered	nce Listing previ	iously	y submitted with Application	
	[	]	Page	e(s) of sul	bstitute S	Sequence Listi	ng			
	[	]	Con	nputer dis	k(s) con	taining substit	ute Sequence Lis	sting		
	[	}	Statement Sequence	under 37 Listing as	C.F.R.	§ 1.825(b) theme.	at the computer	and p	paper copies of the substitute	
	[	3	Other							

- 3. [X] Please enter the Amendment Request For Reconsideration After Final Rejection filed August 29 and 30 1991 in the above-identified parent application and then enter the attached Preliminary Amendment.
- 4. The above-identified parent application in which no payment of issue fee, abandonment of, or termination of proceedings has occurred, is hereby expressly abandoned as of the filing date of this new application so as to make this application copending with said parent application. Please use all the contents of the parent application file wrapper, including the drawings, as the basic papers for the new application.

It is understood that secrecy under 35 U.S.C. § 122 is hereby waived to the extent that if information or access is available to any one of the applications in the file wrapper of a 37 C.F.R. § 1.62 application, be it either this application or a prior application in the same file wrapper, the PTO may provide similar information or access to all the other applications in the same file wrapper.

- 5. [X] The fees to be charged are to be based on the number of claims:
  - a. [X] remaining after entering the attached Amendment.
  - b. [ ] entered in the parent application as of the date of its abandonment.
  - c. [X] remaining after entering the Amendment After Final Rejection filed August 29, 30, 1991 in the above-identified parent application.

#### **CLAIMS FOR FEE CALCULATION**

	Extra	Non-Small Entity	\$690.00
32 -20	12	x \$20.00	\$ 240.00
4 -3	1	x \$72.00	\$ 72.00
		\$220.00	\$
	4 -3	-20 4 -3 1 [] yes Addt'l Fee	32 -20 12 x \$20.00  4 -3 1 x \$72.00  [] yes Addt'l Fee \$220.00

Filing Fee Calculation \$ 1002.00

Includes all independent and single dependent claims and all claims referred to in multiple dependent claims. See 37 C.F.R. § 1.75(c).

Docket No. <u>1280-4014 US5</u>

6.	[ ]	A verified statement that this is a filing by a small entity is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9 (f) (50% of total) paid herewith \$
7.	[X]	A check in the amount of \$1002.00 payment of the FWC application filing fees is attached.
8.	[ ]	Charge fee to Deposit Account No. 13-4500. Order No  A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
9.	[X ]	The Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500. Order No. 1280-4014 US5.  A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
10.	[ ]	Priority of application Serial No, filed on in, is claimed under 35 U.S.C. § 119.  (country)
11.	[X]	Amend the specification by inserting before the first line the sentence:
		This is a [X] continuation [ ] divisional [ ] continuation-in-part
		of co-pending application Serial No. 07/130,097, filed on <u>December</u> 7, 1987
12.	[X]	The parent application is assigned of record to Yale University, New Haven, Connecticut Recorded on 2/24/82, Reel 3950, Frame 0423.
13.	[ ]	An assignment of the invention to is attached.
14.	[ ]	A check in the amount of \$1000 for recording the Assignment is attached.
15.	[Χ]	The Power of Attorney in the parent application is to Morgan & Finnegan
		a. [X] The power was filed in the parent application.
		b. [ ] A new power has been executed and is attached.
		c. [X] Address all future communications to:

MORGAN & FINNEGAN 345 Park Avenue New York, New York 10154

Docket No. 1280-4014 US5

16. Please abandon the prior application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and when this application is pending and has been granted a filing date, so as to make this application co-pending with said prior application.

Respectfully submitted,

**MORGAN & FINNEGAN** 

Dated: February 26, 1992

By: Mary J. Morry

Registration No. 34, 398

Mailing Address:

MORGAN & FINNEGAN 345 Park Avenue New York, New York 10154 (212) 758-4800 (212) 751-6849 Telecopier

FORM: FWC\_TRAN.NY

Rev. 110790

<u>PATENT</u>

Docket No. <u>1280-4014</u> US5

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applications Ward, et al.

Group Art Unit: 183

Serial No.

FWC of 07/130,097

Examiner: L. Crane (in parent Appln.)

Filed

: Herewith

For

\* MODIFIED NUCLEOTIDES AND METHODS OF PREPARING AND USING SAME

### EXPRESS MAIL CERTIFICATE

I hereby certify that the following attached paper(s) or fee

- 1. File wrapper continuing application transmittal and fee (ck \$1002.00)
- 2. Petition and fee for extension of time (4 months) (ck \$ 1280.00)
- 3. Preliminary Amendment
- 4. Information disclosure statement; PTO 1449 form; references
- 5. Express mail certificate
- 6. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Albert Isles

(Typed or printed name of person mailing paper(s) or fee)

(Signature of person mailing paper(s) or fee)

Mailing Address:

MORGAN & FINNEGAN 345 Park Avenue New York, New York 10154 (212) 758-4800 (212) 751-6849 Telecopier

Rev. 4/89 M&F

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